



**Commonwealth of Massachusetts
Application for Gaming Commissioner
for Appointment by the
Massachusetts State Treasurer and Receiver-General**

Part I

Thank you for your interest in the Gaming Commission.

We are currently accepting applications for an appointment to be made by the Massachusetts State Treasurer and Receiver General. The position requires experience in corporate finance and securities. See G.L. c. 23K, § 3(a).

Please note: 1.) The statute prohibits more than three members of the Commission be of the same political party. G.L. c. 23K, § 3. 2.) For the purposes of the appointment, any enrollment must have been maintained continuously during the preceding two years. See G.L. c. 4, § 12.

This application may be downloaded from the website of the Massachusetts Office of the State Treasurer and Receiver General (www.masstresury.org). Please download the application and key in your responses, keeping the language of the questions intact and responding sequentially. Please submit your completed application in PDF form via email to HR@tre.state.ma.us

All applications must be received no later than **November 26, 2021**. Your completed application may be subject to the public records law (G.L. c. 66).

Finally, please note that candidates progressing to a second round interview will be required to complete and submit a supplemental application, which shall be provided separately.

I. Personal Information.

1. Full name: _____
2. Have you ever used or been known by any other name?

Yes _____ No _____

If your answer is yes, please identify: _____

3. Residential Address: _____

4. Telephone numbers: (a) Home: _____

(b) Work: _____

(c) Cell: _____

5. E-mail address: (a) Home: _____

(b) Work: _____

Please indicate which phone number, e-mail, and mailing address you prefer the appointing authority to use by placing an asterisk (*) next to it.

6. Social Security Number (last 4 digits): XXX-XX-_____¹

7. Date of birth: _____ Place of birth: _____

8. Current Employment:

a. Employer's Name: _____

b. Office Address: _____

c. Office Telephone Number: _____

d. Nature of Business: _____

e. Title: _____

10. Each Gaming Commissioner shall be a resident of the Commonwealth within 90 days of appointment. G.L. c. 23K, § 3. Are you currently a permanent Massachusetts resident?

Yes _____ No _____

If your answer is no, will you become a permanent Massachusetts resident within 90 days if you are appointed?

Yes _____ No _____

11. A person convicted of a felony is not eligible to serve on the Commission. G.L. c. 23K, § 3. Have you ever been convicted of a felony?

Yes _____ No _____

¹ Please note that you may be asked to supply the remaining digits in order to advance through the appointment selection process.

12. A person may not hold or be a candidate for federal, state, or local elected office; hold an appointed office in a federal, state, or local government; or serve as an official in a political party while serving on the Commission. G.L. c. 23K, § 3.

Do you currently hold or are you a candidate for such office, hold an appointed office, or serve as such an official?

Yes _____ No _____

If appointed a Gaming Commissioner, will you withdraw your candidacy; resign your office; and/or not serve as an official in a political party?

Yes _____ No _____

13. No more than three Gaming Commissioners can be from the same political party. G.L. c. 23K, § 3.² Please state your party affiliation: _____

II. Educational History.

1. Please (i) list all high schools, colleges, and graduate schools that you have attended, the name you used while attending each institution, dates attended, and degrees conferred; and (ii) describe honors or awards received, and describe any significant activities and any offices or leadership positions held.
2. Have you ever been dismissed from an institute of higher learning? If your answer is yes, please provide details.
3. Have you ever withdrawn from an institute of higher learning in order to avoid dismissal due to alleged or actual misconduct? If your answer is yes, please provide details.

III. Employment History.

As appropriate, you may provide a resume that provides the information requested in Section III. Please attach the resume to your completed application. If your resume does not provide the requested information, please respond below.

1. In chronological order, please list the full name of each of your prior employers (exclusive of employment during school years) and their respective addresses, the start and end dates of your employment, and the positions you held. For each prior employer, briefly describe the nature of (i) that employer's business; (ii) your position within that business; and (iii) your responsibilities. Provide the name, along with the current address, telephone number, and e-mail address of a person, preferably your

² Please note that the appointing authority will verify your stated party affiliation and that it has been maintained continuously during the two years immediately preceding the date of the appointment. See G.L. c. 4, § 12.

supervisor, who can verify your employment with that employer. Please include any military service in your answer.

2. Have you ever been discharged from employment (including from the military, under Other than Honorable conditions) for any reason or have you ever resigned after being informed that your employer intended to discharge you? If your answer is yes, please explain.
3. Do you have any professional licenses or registrations? If your answer is yes, please identify all licenses and registrations, including the numbers, licensing authorities, and status.
4. Please list any professional or trade associations or organizations in which you are a member, indicating whether you hold any office in the organization.
5. Please describe three specific examples from your employment history that demonstrate how your experience and skill set best qualify you for appointment to the Gaming Commission.

IV. Questions Specific to Appointment as Gaming Commissioner.

1. Why do you want to become a Gaming Commissioner?
2. What do you believe should be the fundamental mission of the Gaming Commission and how would you set out to achieve its goals?
3. What are the attributes or qualities you believe should be given the greatest weight in evaluating applicants for Gaming Commissioner, and how do you assess yourself in terms of those attributes?
4. What is the greatest challenge confronting the Gaming Commission and how would you address it?
5. Please describe with specificity, in addition to the above information, what aspects of your educational history, employment, and experience is relevant to your candidacy highlighting any experience in the area of professional gaming regulatory administration or gaming industry management.

V. Potential Conflicts of Interest With The Gaming Industry.

1. Are you employed by a(n) corporation, association, operation, firm, partnership, trust, or other form of business association that conducts or is licensed to conduct any gaming-related activities, or represents or acts as an agent for any such gaming-related activities, in any state? If your answer is yes, please describe.

2. Do you own or hold stock or interest in any corporation association, operation, firm, partnership, trust, or other form of business association that conducts or is licensed to conduct any gaming activities, or represents or acts as an agent for any such gaming-related activities, in any state? If your answer is yes, please describe.
3. Do you have any professional services or business contract with or for any partnership, trust, or other form of business association that conducts or is licensed to conduct any gaming-related activities, or represents or acts as an agent for any such gaming-related activities, in any state? If your answer is yes, please describe.
4. Do you have any direct or indirect financial or pecuniary interest in (including the ownership of shares in a mutual, exchange traded fund (ETF), equity, money market, or other fund) any corporation, association, operation, firm, partnership, trust, or other form of business association that conducts or is licensed to conduct any gaming-related activities, or represents or is an agent for any such gaming-related activities, in any state? If your answer is yes, please describe.
5. If not already identified in your responses to the questions above, do you have any other connection with any corporation, association, operation, firm, partnership, trust, or other form of business association that conducts or is licensed to conduct any gaming-related activities, or represents or is an agent for any such gaming-related activities, in any state? If your answer is yes, please describe.
6. Within the past ten years, have you received any gift with a face value greater than \$250.00 from any gaming-related licensee, applicant, close associate, affiliate, or other person or entity subject to the jurisdiction of the Gaming Commission?
7. In the interests of full disclosure, is there any other information which the Treasurer should know about you and/or any immediate family members that might reflect adversely on your personal or professional background and qualifications or otherwise have an impact on your ability to serve as a Gaming Commissioner? If your answer is yes, please explain.³

VI. References.

Please provide three (3) written, original recommendations. At least two of the three written recommendations must address your professional experience and qualifications. At least one of the letters must reflect -- based on personal knowledge -- your reputation for good character, honesty, and integrity. Those making the recommendations should include contact information, and none may be family members or relatives.

³ For purposes of this Application, "immediate family member" means the applicant and his or her spouse/ domestic partner, and their parents, children, brothers, and sisters

You may also provide a list of five (5) additional names as references the appointing authority may contact.

Please note that prior to appointment to the commission, a background investigation shall be conducted into the financial stability, integrity and responsibility of a candidate, including the candidate's reputation for good character, honesty, and integrity. In order to advance through the appointment process, you must agree to the Treasury conducting such a background check. M.G.L. c. 23K, § 3.

Further, each candidate for employment as a state employee is required by the appointing authority as part of the application process to disclose, in writing, the names of any state employee who is related to the candidate as spouse, parent, child or sibling or as the spouse of your parent, child or sibling. In order to advance through the appointment process, you must agree to make such a disclosure. G.L. c. 268A, § 6B.

CERTIFICATION AND WAIVER

I hereby swear and affirm under penalties of perjury that the information provided within this application is true and complete to the best of my knowledge and belief.

I understand the submission of this application expresses my willingness to accept appointment to the Gaming Commission, if tendered by the appointing authority, and further, my willingness to abide by Conflict of Interest laws, G.L. c. 268A and 268B, as well as any Code of Conduct adopted by the Gaming Commission, if appointed.

Date

Signature of Applicant

Print Name